

The Swedish Higher Education Authority

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The use of monitoring and evaluation framework and programme theory

The way to more responsible external quality assurance?



Discussion questions:

- How can EQA agencies work to improve the knowledge about the outputs, outcomes, effects of EQA procedures?
- How can EQA agencies work with M&E framework and program theory in its planning of EQA?
- How can EQA agencies doing both institutional reviews and programme evaluations ensure relevance and an efficient use of resources and avoid unnecessary overlaps between the two review components?



Why studies of effects?

At the end of an evaluation cycle:

- To provide evidence -
- As part of learning "looking back moving forward"

In the beginning of an evaluation cycle:

How is the method working?

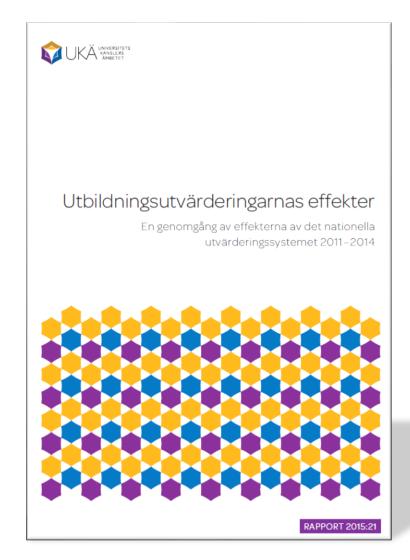
Important to involve HEIs and other stakeholders

ESG 3.4 – thematic analysis: Agencies should regularly publish reports that describe and analyse the general findings of the EQA activities

The effects of programme evaluations 2011-2014

Read more on:

http://english.uka.se/download/18.6b3261a315a 296ca0f3dc4cb/1487932593265/Effects-ofprogramme-evaluations.pdf





A new External Quality Assurance System

1990s
Institutional
audits
(prerequisite/
process)

2000s

Programme
evaluation
(prerequisite/
process)

2011-2014
Programme
evaluation
(Output, LO)

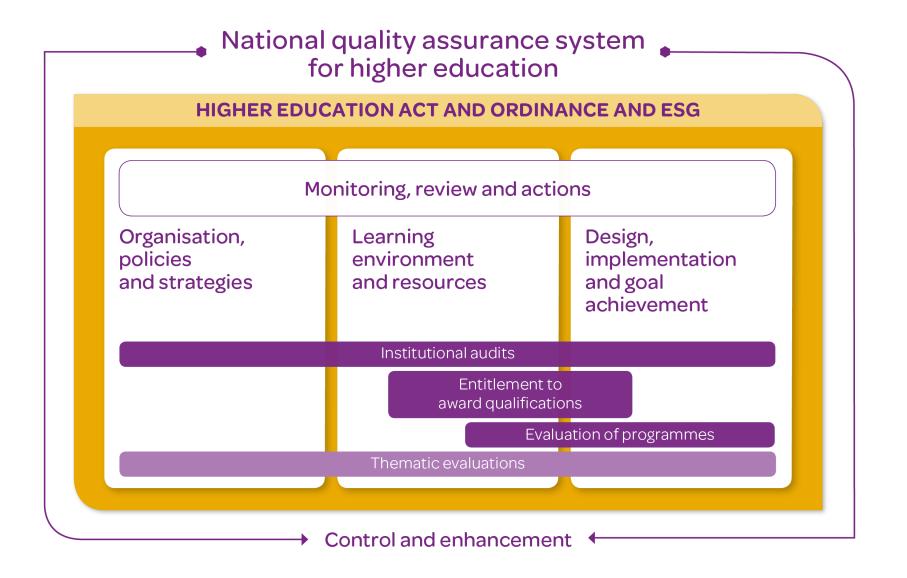
2017-2022 Combination



The four components of the system









Studies of effects – the future

• UKÄ now building in Monitoring and evaluation

Government instruction:

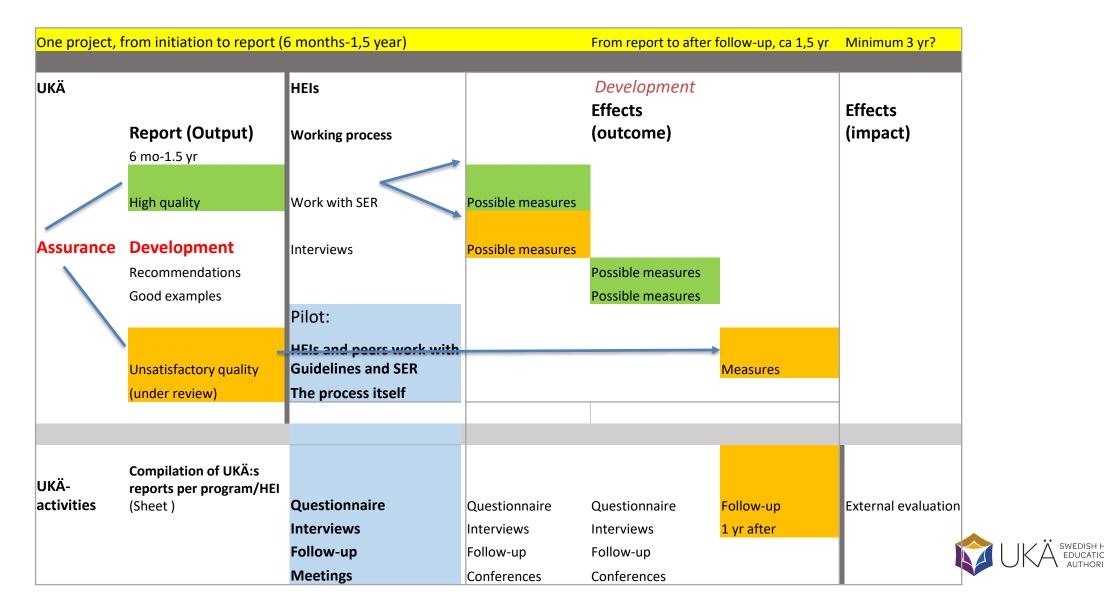
"The Authority shall annually report on how quality assurance contributes to development and high quality in the university's activities".

3 years after implementation – an external evaluation of the same (by 1 February 2021)

- To monitor the implementation of the pilots and in internal planning
 - is the method working (fit for purpose)?
 - are we using resources wisely?



Monitoring and evaluation framework



Preliminary observations halfway through the pilots

- Too much of overlaps between programme evaluations and institutional reviews
- Not enough results focus in programme evaluation
- Not enough focus on the results of the internal quality work of the HEIs in institutional reviews
- Questionnaires to HEIs and expert panels indicate a too large number of assessment criteria and issues which also tend to recur and overlap



Extend the M&E framwork with programme theory

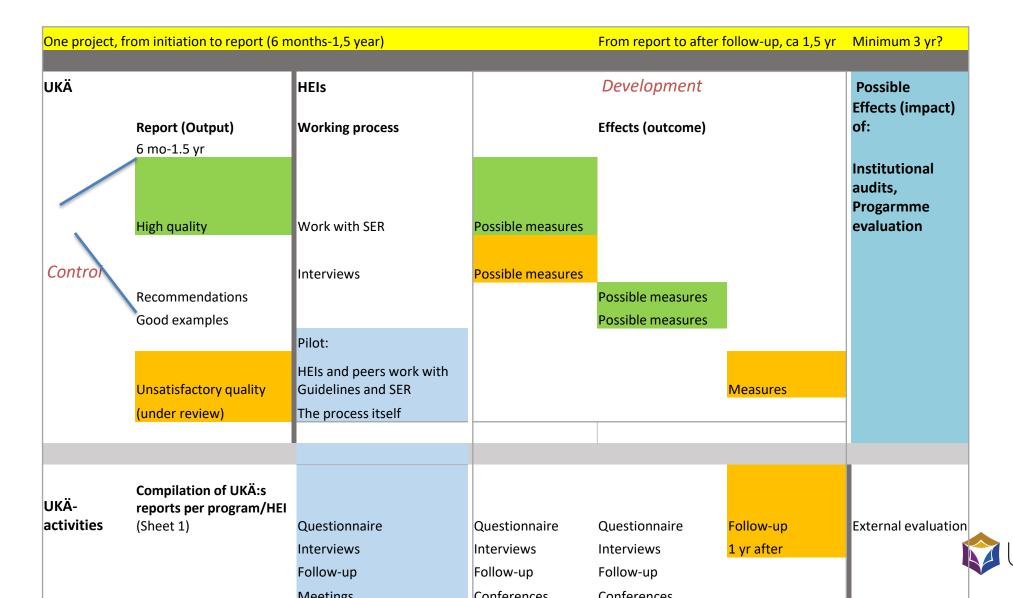
 A clearer reasoning on the desirable impact of the different review components and its different parts is needed!

In focus: What we wish to achieve and what we aim to change

- Will guide UKÄ in the revision of the model
- Will be done in cooperation with HEIs and other stakeholder groups



Monitoring and evaluation framework



Thank you!

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