

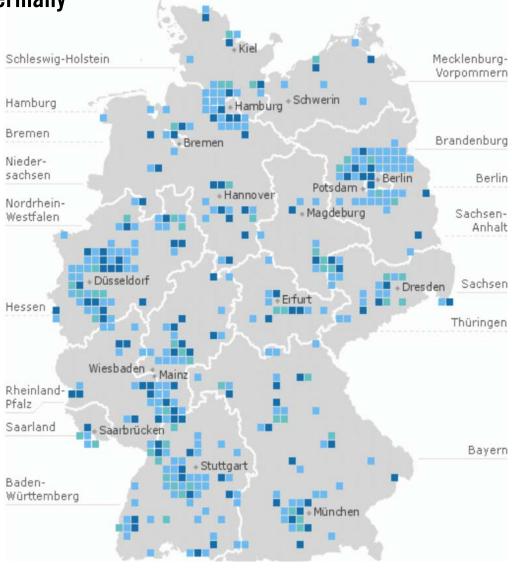


## RESEARCH STRATEGY IN A MEDIUM-SIZED UNIVERSITY: THE CASE OF LEUPHANA

EUA Funding Forum 2016, Session 2 Anke Zerm, Leuphana University Lueneburg, Germany

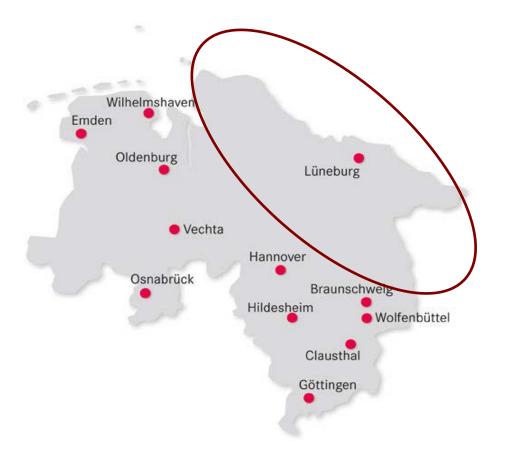


**Universities in Germany** 





### **Leuphana University Lueneburg in Lower Saxony**



#### 20 HEI in Lower Saxony

- 11 universities (incl.Leuphana):
  - internationally strong: U Göttingen, U Hannover, TU Braunschweig
  - more regional: U Oldenburg, U Osnabrück, TU Clausthal
  - focus on teachers education: U Hildesheim,U Vechta
  - specialized HEI: Hannover Medical School, University of Veterinary Medicine Hannover
- 2 Universities of Arts
- 7 universities of applied sciences and further private HEI



### Framing conditions for a research strategy: selected internal actors

**Presidential Committee Board of Trustees** Senate **Faculty Scientist** 

- fostering research performance of the university as a whole
- pressure to raise income because of lack of core funding and partly performance based budget
- managing the trade-off with different tasks
- main body of academic self government
- decision on university develoment plan and staff decisions
- maintaining study programme
- identifying broadly agreed research areas
- shaping internal structures
- constant activities to increase the Faculty's budget
- individual scientific interest
- pressure to publish and raise funds
- individual career plans in the light of temporary contracts



### Framing conditions for a research strategy: selected external actors

**Regional Ministry as governing body** 

**Federal Ministry** 

**Funding Agencies** 

Scientific Community (scientists, peers, evaluators)

- determining universities' development according to political visions
- structural decisions based on research evaluations
- systems for salaries and contract details

#### political programmes as framing conditions:

- promotion of young researchers
- fostering of thematic clusters
- excellence programmes
- funding based on thematic priorities
- risk averse funding policies because of traditional structures and need to justify spent funds
- nearly no long term funding
- establishing new research fields
- support of already strong institutions

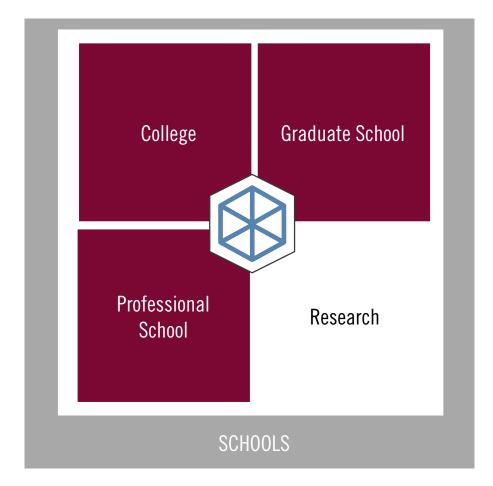


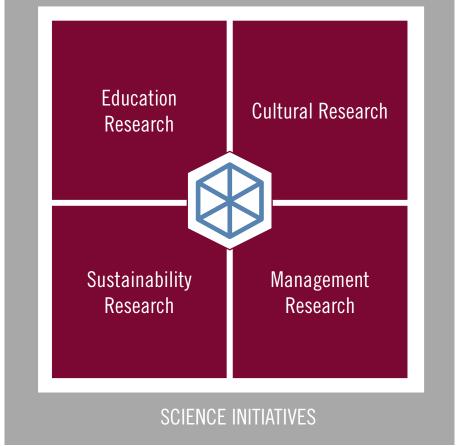
### Last 15 years are a period of profound changes

2000 2006 2009 2003 problematic status quo stuctural change institutional profiling uncertain future new alignment heavy internal discussions relating to the merger "concentration and cooperation": unsufficient budget per student main ideas: heavy budget cuts because of poor research evalution results humanistic, sustainable, proactive new governmental policy Schools: College, Graduate School **Professional School** 4 research initiatives strategic recruitment ■Foundation under Public Law •merger (2005) •new target agreements with the (2002)state (2007) •new presidential committee (2006)University Development Plan (2008)•re-organisation + recruitment (2009 ff.)



### **Current organisational structure**

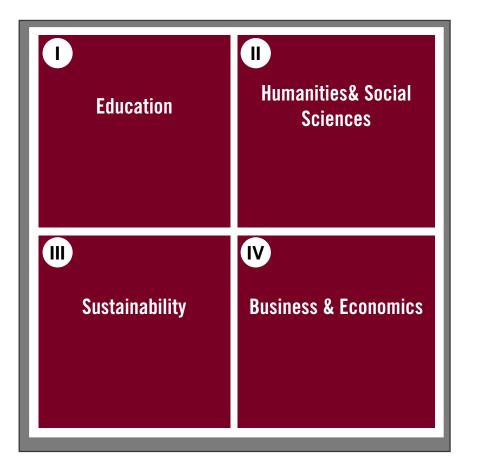






### Leuphana's faculties

- 170 professors und396 researchers (PhD, PostDoc)
- appr. 9,000 students
- 80% Humanities and Social Sciences
- 20 % natural sciences, engineering





# Cultural Sciences: starting point 2007 (research evaluation) / 2008 (Leuphana development plan)



- "Lüneburg and cultural sciences" well known in Germany and abroad
- broadly accepted course programme
- tradition of interdisciplinary cooperation



- strong focus on education + teaching
- nearly no third-party-funded projects
- research activities nearly invisible outside the region
- no integrative research approach
- weak integration in the university as a whole



### **Steps since 2007/08:**

#### science initiative cultural research targeted strengthening institutional reform unique scientific concept recruitment funding development of a since 2010 22 new high impact of ERDF foundation of the Faculty distinguished research funding professors (different of Humanities and Social approach in contrast to levels) Sciences (2010) and new successful acquisition of existing competitors internal structure (2012) excellent funding (DFGrevised study programmes German Research integration of political Foundation) sciences research focus areas: - Cultures of Criticism competent and highly - Study of Democracy flexibel funding strategy - Digital Media 2012: successful external research evaluation!



## Strategic Instruments 1 Recruitment

- recruitment strategy of the Faculty was embedded in the recruitment activities of Leuphana as a whole: till 2012 50 new professors
- as a result significant qualitative improvement of research output
- Leuphana's small size and Lüneburg as a little town were no disadvantages in competition for talents and high potentials
- distinguished scientific profile is attracting
- increasing share of international professors
- highly interdisciplinary teams with broad activities in teaching and research
- support for overarching concept provides basis for excellence scheme proposals



# Strategic Instruments 2 Funding (focus on Digital Media)

- successful funding chain from 2010 till today
- diversification: competitive funds from different sources (regional, DFG) were raised
- combined with recruitment strategies a foundation for proposals relating to large scale funding schemes were laid (esp. DFG Programme Collaborative Research Centre)
- effective proposal writing by scientists



### **2010-2015 ERDF**: Innovations Incubator Leuphana:

#### Decision of the Incubator Structural Commission: investment focus on three thematic clusters



**ERDF** 

**ERDF** 

**ERDF** 



## Thematic Cluster Digital Media — funding in total 14.5 Mio €:

Moving Image  Public Service Media 2.0  Hybrid Publishing	<ul> <li>embedded in structures         which were developped in         parallel</li> <li>projects were led by         permanent professors</li> <li>large scale projects with         high numbers of researchers</li> </ul>	<ul> <li>ERDF funding was finished at the end of July 2015</li> <li>four ERDF projects laid the foundation for following funding success</li> </ul>
Art and Civic Media	and guests	



## 'funding follow-up' till 2020:

2013-2018 Digital Cultures Research Lab (DCRL) (two stages, each 3 years, profound evaluation, 5 Mio. EUR)	competitive call State Saxony: - objective: establish location of research - large PhD group - network of guest	common institution for ERDF, regional and DFG funding: Centre for Digital Cultures (CDC)  currently in proposal process: DFG Collaborative Research Centre (Sonderforschungsbereich) in case of approval two stages, till appr. 2025			
2013-03.2021 DFG/German Research Council: Media Cultures of Computer Simulation (two stages, each 4 years,	DFG excellence scheme for 2 scientists (competitive): - Institute of Advanced Study - large fellow network - "time and atmosphere to think"				
profound evaluation, 9.7 Mio. EUR)					
2013 2014 2	015 2016	2017	2018	2019	2020



# Strategic Instruments 3 Institutional Reform

- challenge: integration of high number of ERDF funded scientists into the Faculty, mainly in one (!) institute
- Centre for Digital Cultures (CDC) was established as "roof institution"
- the internal Faculty structure was reformed and new professors were appointed
- in 2015 the Incubator was finished and the "integration pressure" was lower



# Strategic Instruments 4 Unique Scientific Concept

- unique concept on the Faculty level
- distinguished approach in the research area "Digital Media"
- clear contrast to cooperating (but also competing) institutions, mainly in Germany
- Lüneburg approach: "digital media" is seen as part of Humanities and Social Sciences (and not as part of media sciences)
- positive response from important US-American institutions (kind of "Lüneburg media sciences")
- particular scientific approach compatible with overall Faculty strategy and scientific interests of new professors



## **Drivers**

# political/structural environment

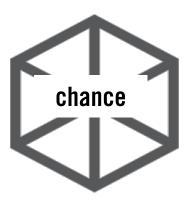
- autonomy
- local vs. urban?

#### reputation

- scientific (esp. international) community
- research evaluation
- cooperation potential

# distinct positioning

- shaping topics
- innovative scientific approach



### institutional efficiency

- positive and professional cooperation of all actors
- support service
- effective work flows

#### recruitment

- excellence
- commitment

### funding

- diversification
- continuity
- availability (calls)



## **Constraints**

## political/structural environment

- regulatory framework (roll back)
- local vs. urban?

#### reputation

- traditional academic committees
- few people for many tasks

## distinct positioning

- temporary character of topics
- imitation/"repeated Leuphana style"



### institutional efficiency

- too many actors involved
- limited flexibility for change (e.g. study programme)

#### recruitment

- complex process with many actors
- perspectives for staff
- lack of commitment

#### funding

- temporary, competitive, topic oriented
- no access to constant basic funding



Thank you for your attention!