

2019 EUA WORKSHOP ON RESEARCH ASSESSMENT IN THE TRANSITION TO OPEN SCIENCE

Research Assessment for Researcher Recruitment and Career Progression

Final programme

EUA is organising an annual workshop series on research assessment in the transition to Open Science. The 2019 workshop will focus on [“Research Assessment for Researcher Recruitment and Career Progression”](#). This one-day event will take place on 14 May 2019 in the University Foundation in Brussels, Belgium.

Researchers, universities and other research performing organisations, research funders and policymakers are revisiting approaches to research assessment. Increasingly concerned by the current state of play and its negative effects on academia, they are starting to engage in discussions about more accurate, transparent and responsible approaches to research assessment.

As part of our commitment in the [“EUA Roadmap on Research Assessment in the Transition to Open Science”](#), the series of workshops will raise awareness and foster discussion on issues related to research assessment in the transition to Open Science.

Participants at the 2019 workshop will have the opportunity to meet and discuss innovative practices, as well as reflect on a concerted approach to revisiting research assessment. The event will be of interest to institutional leaders (rectors, vice-rectors and heads of administration), research department and library staff and researchers, as well as representatives of other stakeholder organisations revisiting their approach to research assessment.

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TUESDAY, 14 MAY 2019

08:00	<i>Welcome desk open</i>
08:30 – 08:45	<p><i>Welcome address</i></p> <p>Why revisit research assessment?</p> <p>The welcome address will set the stage for the workshop by highlighting researcher recruitment and career progression as a key issue related to research assessment in the transition to Open Science.</p> <ul style="list-style-type: none"> - Martine Rahier, Vice-President of EUA and former Rector of the University of Neuchâtel, Switzerland - Jean-Pierre Finance, Chair of the EUA Expert Group Science 2.0/Open Science and former President of the University of Lorraine, France
08:45 – 10:30	<p><i>Keynote addresses</i></p> <p>Revisiting research assessment approaches</p> <p>The first keynote address will discuss current approaches to research assessment, their effects on academia and give an overview of the search for more accurate, transparent and responsible approaches to the recruitment and career progression of researchers.</p> <ul style="list-style-type: none"> - Stephen Curry, Chair of the San Francisco Declaration on Research Assessment (DORA) Steering Committee <p>Incentives and rewards for researchers in the transition to Open Science</p> <p>Revisiting research assessment offers an opportunity to rethink the pursuit of research, education and scholarship. The second keynote address will discuss career incentives and rewards for researchers to engage in Open Science.</p> <ul style="list-style-type: none"> - Sabina Leonelli, Expert in the Mutual Learning Exercise “Open Science: Altmetrics and Rewards” <p>University perspective on revisiting research assessment</p> <p>Universities are well-placed to explore innovative approaches to research assessment and tailor them to their needs. The third keynote address will present Ghent University’s newly adopted evaluation model for professorial staff and give insight into the process that led to this reform.</p> <ul style="list-style-type: none"> - Rik Van de Walle, Rector of Ghent University, Belgium <p><i>Chair: Bernard Rentier, Chair of the EUA Expert Subgroup on Research Assessment, Vice-President of the Belgian Federal Council for Science Policy (FRWB - CFPS) and former Rector of the University of Liège, Belgium</i></p>
10:30 – 11:00	<i>Coffee break</i>

11:00 – 12:30

Plenary session

Responsible rewards and incentives for research careers

The first plenary session will take a systemic look at the rewards given to researchers for past achievements and the incentives offered for their future careers, as well as the procedures and metrics used to assess their work.

- **Paul Wouters**, Chair of the European Commission Expert Group on Indicators and Director of the Centre for Science and Technology Studies, Leiden University, Netherlands
- **Stephan Kuster**, Secretary General of Science Europe
- **Eva Méndez Rodríguez**, Chair of the Open Science Policy Platform (OSPP) and Deputy Vice-President for Scientific Policy and Open Science of Charles III University of Madrid, Spain

Chair: Pastora Martínez Samper, Vice-President for Globalization and Cooperation of the Open University of Catalonia, Barcelona, Spain

12:30 – 12:45

Presentation

EUA-Science Europe Joint Statement on “Join Efforts to Improve Scholarly Research Assessment Methodologies”

- **Stephan Kuster**, Secretary General of Science Europe
- **Martine Rahier**, Vice-President of EUA and former Rector of the University of Neuchâtel, Switzerland

12:45 – 13:30

Lunch

13:30 – 15:00

Plenary session

New and innovative approaches to research assessment

The second plenary session will present new and innovative approaches to research assessment for researcher recruitment and career progression, taking a practical look at the benefits and challenges of revisiting research evaluation.

- **The Open University of Catalonia and DORA: reflections on how to put into practice a declaration of intentions**
The Open University of Catalonia (UOC) signed the DORA Declaration as a key action of its Open Knowledge Plan. To put this declaration into practice, the university commissioned a report on the necessary changes to be implemented. This presentation focuses on the main findings of this report and invites discussion on shared experiences and challenges.
 - o **Marta Aymerich**, Vice-President for Strategic Planning and Research of the Open University of Catalonia, Barcelona, Spain

- Co-authors: **Pastora Martínez Samper** and the DORA Working Group of the Open University of Catalonia, Barcelona Spain
- **Ranking and head-hunter plans: research assessment through scientific outputs**
The Vice-Chancellor's Office for Research of the International University of La Rioja has an annual budget that it dedicates to materials and resources for research, which are allocated to professorial staff using two different plans: the ranking and head-hunter plans.
 - **Tamara Antona Jimeno**, Assistant Vice-Chancellor of the International University of La Rioja, Logroño, Spain
 - Co-authors: **Francisco Segado Boj** and **Pedro Hípola**, International University of La Rioja, Logroño, Spain
- **Strategically funding excellence under Open Science principles: the María de Maeztu DTIC-UPF Strategic Research Program**
Since 2016, the María de Maeztu DTIC-UPF strategic research program has launched several initiatives to accelerate the transition to sustainable research aligned with Open Science principles. It includes research, innovation and education projects linking the strategic excellence orientation of the department to openness.
 - **Aurelio Ruiz**, Programme Coordinator at Pompeu Fabra University, Barcelona, Spain
 - Co-author: **Xavier Serra**, Pompeu Fabra University, Barcelona, Spain
- **The role of research libraries in the transition to Open Science: the case of scholarly metrics**
This presentation will present the work of LIBER, the Association of European Research Libraries, in the field of innovative scholarly metrics. The "Scholarly Metrics Recommendations for Research Libraries" will be the prime focus, framed by the other relevant efforts that the LIBER Working Group on Innovative Scholarly Metrics is undertaking.
 - **Sarah Coombs**, Saxion University of Applied Sciences, Enschede, Netherlands
 - Co-authors: **Giannis Tsakonas**, University of Patras, Greece, **Isabella Peters**, ZBW Leibniz Information Centre for Economics, Germany and **Charlotte Wien**, University of Southern Denmark, Odense, Denmark
- **Developing responsible impact indices for Open Science**
This presentation will introduce key building blocks for designing a responsible impact indicator for Open Science by allowing researchers to

have significant influence on how their impact is represented and communicated. Moving beyond simplistic notions of bibliometric and economic impact, the presentation outlines a new approach to assessing Open Science activities and their consequences for society.

- **Rolf Hvidtfeldt**, Aalborg University, Denmark
- Co-author: **David Budtz Pedersen**, Aalborg University, Denmark

- **The openness profile: an infrastructure approach to recognising and rewarding contributions to Open Scholarship**

This presentation addresses the (mis)alignment between policy and the practice of Open Science. In response, we introduce the Openness Profile concept as a resource for both researchers and evaluators.

- **Lorna Wildgaard**, Data Management Specialist at the Royal Danish Library, University of Copenhagen, Denmark
- Co-authors: **Clifford Tatum**, CWTS, Leiden University, Netherlands and **Josefine Nordling**, CSC – IT Center for Science, Finland

- **We need to talk: why improving inter-actor communication may be crucial to advance research assessments**

A multi-actor qualitative research of the Flemish research system documents problems with current research assessments and suggest that a lack of communication between research actors may lead to inaction, distrust and frustration - and may paralyse the changes needed to improve research assessments.

- **Noémie Aubert Bonn**, Doctoral candidate at University of Hasselt, Belgium

Chair: Norbert Lossau, EUA Representative in the Open Science Policy Platform (OSPP) and Vice-President of the University of Göttingen, Germany

15:00 – 15:30

Coffee break

15:30 – 17:00

Panel discussion

A concerted approach to research assessment

Revisiting research assessment procedures is a shared responsibility and requires a concerted approach uniting major actors. This panel will discuss how researchers, universities and other research performing organisations, research funders and policymakers can work together on rethinking the rewards given to researchers for their past achievements and the incentives that shape their future careers.

- **René von Schomberg**, Team Leader for Open Science policy coordination and development, Directorate-General for Research and Innovation, European Commission
- **John-Arne Røttingen**, Chief Executive of the Research Council of Norway
- **Véronique Halloin**, Secretary General of the Fonds de la Recherche Scientifique (FNRS), Belgium
- **Catriona MacCallum**, Policy Advisor for the Open Access Scholarly Publishers Association (OASPA)
- **Noémie Aubert Bonn**, Doctoral candidate at University of Hasselt, Belgium
- **Eva Méndez Rodríguez**, Chair of the Open Science Policy Platform (OSPP) and Deputy Vice-President for Scientific Policy and Open Science of Charles III University of Madrid, Spain

Chair: Lidia Borrell-Damián, EUA Director of Research and Innovation

17:00

Concluding remarks

- **Bernard Rentier**, Chair of the EUA Expert Subgroup on Research Assessment, Vice-President of the Belgian Federal Council for Science Policy (FRWB - CFPS) and former Rector of the University of Liège, Belgium